

☒ PATENT ☐ DESIGN B&W Ref. 1107. 00185 Date 5/21/03
☐ HAND CARRY Group/Section _____ Bldg _____ Rm _____
Serial/Patent No. 09/940,820 Atty/Sec SAR/hlm
Inventor Rothman Client JHU
Title Molecular diagnosis of Bacteremia

The following has been received in the U.S. Patent and Trademark Office on the date stamped hereon:

- | | |
|--|---|
| <input type="checkbox"/> _____ total pp Spec., including : # of Claims _____
(# of independent claims _____); <input type="checkbox"/> Abstract | <input type="checkbox"/> Sequence Listing : <input type="checkbox"/> Diskette <input type="checkbox"/> Paper |
| <input type="checkbox"/> Drawings : <input type="checkbox"/> Formal <input type="checkbox"/> Informal | <input type="checkbox"/> Amendment <input type="checkbox"/> Response : OA dtd _____ |
| <input type="checkbox"/> # of distinct sheets _____ : Figs. _____ | <input checked="" type="checkbox"/> Petition for Extension of Time until <u>5/21/03</u> |
| <input checked="" type="checkbox"/> Declaration/Power of Attorney : <input checked="" type="checkbox"/> Executed <input type="checkbox"/> Unexecuted | <input type="checkbox"/> CPA <input type="checkbox"/> RCE <input type="checkbox"/> w/Ext of Time : OA dtd _____ |
| <input checked="" type="checkbox"/> Assignment w/PTO Cover Sheet | <input type="checkbox"/> Request for Approval of Drawing Changes |
| <input type="checkbox"/> IDS w/PTO 1449 <input type="checkbox"/> References <input type="checkbox"/> w/Fee | <input type="checkbox"/> Notice of Appeal & Fee |
| <input type="checkbox"/> Preliminary Amendment | <input type="checkbox"/> Brief : <input type="checkbox"/> Appeal & Fee <input type="checkbox"/> Reply |
| <input type="checkbox"/> Priority Claim (Foreign or U.S. Provisional. B&W # _____) | <input type="checkbox"/> Request for Oral Hearing |
| | <input type="checkbox"/> Issue Fee <input type="checkbox"/> Advance Patent Copies (# ordered _____) |
| | Notice of Allowance dtd _____ |
| Country _____ Appl. # _____ Date _____ | <input type="checkbox"/> Amendment under 37 CFR 1.312 |
| <input type="checkbox"/> w/Foreign Priority Document(s) | <input type="checkbox"/> Request for Certificate of Correction |
| <input type="checkbox"/> Application : <input type="checkbox"/> CIP <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional | <input checked="" type="checkbox"/> Transmittal <input checked="" type="checkbox"/> Fee Transmittal w/Auth. to Charge Deposit Acct. |
| Parent Ser. No. _____ B&W# _____ | <input type="checkbox"/> Certificate of Mailing |
| <input type="checkbox"/> U.S. Provisional _____ pp Spec/Claims; Cover Sheet | <input type="checkbox"/> Check # _____ for \$ _____ |
| <input checked="" type="checkbox"/> Response to Missing Parts/Requirements dtd <u>10/21/03</u> | <input type="checkbox"/> _____ |
| <input type="checkbox"/> Response to Notice to File Corrected Appl. Papers dtd _____ | <input type="checkbox"/> _____ |
| <input type="checkbox"/> Request for Expedited Foreign Filing License | <input type="checkbox"/> _____ |
| <input type="checkbox"/> Request for Corrected : <input type="checkbox"/> Filing Receipt <input type="checkbox"/> Assignment | <input type="checkbox"/> _____ |
| <input type="checkbox"/> Response to Restriction/Election Requirement | <input type="checkbox"/> _____ |

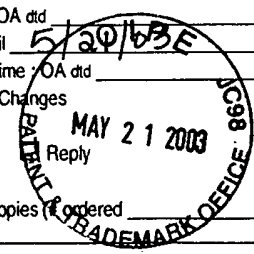
B&W Rev. 501

☒ PATENT ☐ DESIGN B&W Ref. 1107. 00185 Date 5/21/03
☐ HAND CARRY Group/Section _____ Bldg _____ Rm _____
Serial/Patent No. 09/940,820 Atty/Sec SAR/hlm
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| <input checked="" type="checkbox"/> Assignment w/PTO Cover Sheet | <input type="checkbox"/> Request for Approval of Drawing Changes |
| <input type="checkbox"/> IDS w/PTO 1449 <input type="checkbox"/> References <input type="checkbox"/> w/Fee | <input type="checkbox"/> Notice of Appeal & Fee |
| <input type="checkbox"/> Preliminary Amendment | <input type="checkbox"/> Brief : <input type="checkbox"/> Appeal & Fee <input type="checkbox"/> Reply |
| <input type="checkbox"/> Priority Claim (Foreign or U.S. Provisional. B&W # _____) | <input type="checkbox"/> Request for Oral Hearing |
| | <input type="checkbox"/> Issue Fee <input type="checkbox"/> Advance Patent Copies (# ordered _____) |
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| <input type="checkbox"/> w/Foreign Priority Document(s) | <input type="checkbox"/> Amendment under 37 CFR 1.312 |
| <input type="checkbox"/> Application : <input type="checkbox"/> CIP <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional | <input type="checkbox"/> Request for Certificate of Correction |
| Parent Ser. No. _____ B&W# _____ | <input checked="" type="checkbox"/> Transmittal <input checked="" type="checkbox"/> Fee Transmittal w/Auth. to Charge Deposit Acct. |
| <input type="checkbox"/> U.S. Provisional _____ pp Spec/Claims; Cover Sheet | <input type="checkbox"/> Certificate of Mailing |
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| <input type="checkbox"/> Request for Corrected : <input type="checkbox"/> Filing Receipt <input type="checkbox"/> Assignment | <input type="checkbox"/> _____ |
| <input type="checkbox"/> Response to Restriction/Election Requirement | <input type="checkbox"/> _____ |

B&W Rev. 501



13

Docketing Record

IPMaster (v1.4.0) - [Patent 1 of 1]

File Edit Records Utilities Tools Help

Docket Number: 001107.00185
 Country: United States
 Case Type: Regular
 Relation Type: Original Filing
 Filing Type: National
 Filing Number:

Attorney: SARAH A. KAGAN
 Agent:
 Client/Division: JOHNS HOPKINS UNIVERSITY.
 Current Owner: JOHNS HOPKINS UNIVERSITY.
 Previous Owner:

MOLECULAR DIAGNOSIS OF BACTEREMIA

Inventors Filing Data	License Data Related Party Info	Other Number Actions	Related Id's Description	Title Expenses	Text External File
Status: Filed	First Filing Date: 8/29/2001	National Filing Date:	Sub Status: PENDING	Sub Status Date: 8/29/2001	Parent Tax Base Date:
Parent Country:	Parent Filing Date:	Parent Expiration Date:	Parent Grant Date:		
Application Number: 09/940860	Application Date: 8/29/2001	Tax Base Date:	Grant Date:	Next Tax Due Date:	
Patent Number:	Publication Date:	Expiration Date:			
Assigned:	Ind. Claims/Designs: 0	Multiple Designs:	Convention Type:	Total Claims: 0	Designated States:
	Total Classes:	Classification Number:		Confirmation Number:	

Created: 8/29/2001 Updated: 4/21/2003 5:06:40 PM By: shaggins

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Action notes reflecting fax of Notice
to File Missing Parts

IPMaster (v1.00) - [Patent 1 of 1]

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REC'D FAX FR USPTO ON 1/29/03 TRANSMITTING NTFMP DTD. 10/21/02; RESPONSE DUE 12/21/02; LAST DAY 5/21/2003***

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		FILED IDS W/
		REC'D FAX FR
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Docketed dates

IPMaster (v1.4.0) - [Patent 1 of 1]

File Edit Records Utilities Tools Help

Docket Number: 001107.00185
 Country: United States
 Case Type: Regular
 Relation Type: Original Filing
 Filing Type: National
 Filing Number:

Attorney: SARAH A. KAGAN
 Agent:
 Client/Division: JOHNS HOPKINS UNIVERSITY.
 Current Owner: JOHNS HOPKINS UNIVERSITY.
 Previous Owner:

MOLECULAR DIAGNOSIS OF BACTEREMIA

Inventors	License Data	Other Number	Related Id's	Title	Text
Filing Data	Related Party Info	Actions	Description	Expenses	External File
Action	Action Due Date	Taken Date	Deadline Date	Completed Date	
Info Discl. Statement	11/29/2001		11/29/2001	11/28/2001	
PRIORITY CLAIM/AMEND SPEC (1M0)	11/29/2001		12/29/2001	11/29/2001	
PRIORITY CLAIM/AMEND SPEC	12/29/2001		12/29/2001	11/27/2001	
Foreign filing - 6 month remin	2/28/2002		8/29/2002	2/28/2002	
Foreign filing - 9 month remin	5/29/2002		8/29/2002	3/7/2002	
Foreign filing - 11 month remi	7/29/2002		8/29/2002	3/7/2002	
Foreign filing deadline	8/29/2002		8/29/2002	3/7/2002	
Published	2/28/2003		2/28/2003	1/27/2003	
Redacted Publication Deadline	12/29/2002		12/29/2002	12/29/2002	
FILING RECEIPT RECEIVED		10/18/2002			
Info Discl. Statement		1/23/2003			
Missing Parts Reminder	2/21/2003		5/21/2003	2/21/2003	
Notice Of Missing Parts	5/21/2003		5/21/2003		
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Created: 8/29/2001 Updated: 4/21/2003 5:06:40 PM By: shaggins

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PTO LOG October 21, 2002

APPLICATION #	DOCKET #	DESCRIPTION
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09/712880	11777.00016	OFFICE ACTION
09/749267	11777.00019	OFFICE ACTION
09/862070	11261.00009	OFFICE ACTION
10/024250	01701.00314	OFFICE ACTION
09/946369	03493.00295	OFFICE ACTION
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10/211299	03797.00286	NOTICE OF RECORDATION
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76/122566	05007.00017	SUSPENSION INQUIRY
10/238069	01458.00024	CORRECTED APPLICATION PAPERS
09/479951		PROJECTED EXAMINATION DATE
09/480144		PROJECTED EXAMINATION DATE
76/122567		SUSPENSION INQUIRY
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PTO LOG October 24, 2002

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09/504860	004993.86286	ISSUE NOTIFICATION
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74/405790		NOTICE OF ACCEPTANCE
75/021050		NOTICE OF ACCEPTANCE
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PTO LOG October 25, 2002

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09/835855	004900.00002	NOTICE OF PUBLICATION OF APPLICATION
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09/051984	002918.74403	OFFICE ACTION SUMMARY
09/899535	051291.00019	OFFICE ACTION SUMMARY
10/093609	000242.00124	OFFICE ACTION SUMMARY
09/658466	005162.00001	INTERVIEW SUMMARY
10/183635	001107.00271	WITHDRAWAL OF PREVIOUSLY NOTICE
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76/271599		NOTICE OF PUBLICATION
76/100281		NOTICE OF PUBLICATION

PTO LOG October 28, 2002

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09/813930	00486.00006	OFFICE ACTION
09/570025	05009.00001	OFFICE ACTION
09/625742	05156.00021	OFFICE ACTION
09/650511	1999-0656	OFFICE ACTION
09/743264	00280.00004	OFFICE ACTION
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PTO LOG October 29, 2002

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09/960627	05127.00062	CORRECTED FILING RECEIPT
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08/987207	02706.04978	MAINTENANCE FEE
08/833737	01765.05759	MAINTENANCE FEE
08/060831		PATENT EXPIRATION
09/185070	03955.00071	PETITION GRANTED
	6379814	CERTIFICATE OF CORRECTION

[illegible]

PTO LOG October 31, 2002

[illegible]

PTO LOG October 30, 2002

[illegible]

PTO LOG November 1, 2002

APPLICATION #	DOCKET #	DESCRIPTION
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09/948877	001701.00106	OFFICE ACTION
10/138534	101765.00078	OFFICE ACTION
09/988738	001701.00508	OFFICE ACTION
10/196957	001701.00160	OFFICE ACTION
10/221160	004974.00791	ACCEPTANCE OF APPLICATION
09/465879	003797.84611	INTERVIEW SUMMARY
78/067698	004412.00001	NOTICE OF ALLOWANCE
78/062058	005178.00015	EXAMINER'S AMENDMENT
60/416585	004974.00896	FILING RECEIPT
10/191973	002018.00004	FILING RECEIPT
10/068297	161765.00467	FILING RECEIPT
10/170232	008242.00006	FILING RECEIPT
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29/164641	006089.00044	FILING RECEIPT
10/222953	003797.00275	FILING RECEIPT
10/198079	001701.00158	FILING RECEIPT
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09/827370	003955.00022	NOTICE OF ALLOWANCE AND ISSUE FEE
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09/511005		LETTERS PATENT
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PTO LOG November 4, 2002

APPLICATION #	DOCKET #	DESCRIPTION
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09/347444	24668/12:3	ISSUE NOTIFICATION
09/579018	00674.86146	ISSUE NOTIFICATION
29/153911	15127.00099	ISSUE NOTIFICATION
29/149003	05427.00031	ISSUE NOTIFICATION
09/789712	01701.00060	ISSUE NOTIFICATION
09/164764	01107.76459	ISSUE NOTIFICATION
09/496287	02706.85216	ISSUE NOTIFICATION
29/154142	15127.00091	ISSUE NOTIFICATION
09/511913	01458.86430	ISSUE NOTIFICATION
09/556981	03797.87570	ISSUE NOTIFICATION
09/587184	01107.00011	ISSUE NOTIFICATION
09/668365	01107.00049	ISSUE NOTIFICATION
09/763424	10180.00003	ISSUE NOTIFICATION
09/125716	01701.75680	ISSUE NOTIFICATION
78/138820	03049.00009	OFFICE ACTION
09/742306	11777.00034	OFFICE ACTION
09/658466	05162.00001	OFFICE ACTION
09/881121	01701.00085	OFFICE ACTION
09/997015	00674.00057	OFFICE ACTION
09/526860	05127.59791	OFFICE ACTION
10/079570	04919.00031	OFFICE ACTION
09/674013	00475.00001	OFFICE ACTION
09/917775	02372.00027	OFFICE ACTION
10/021561	05242.00026	OFFICE ACTION
09/565642		NOTICE OF RECORDATION

10/216323	02706.00138	NOTICE OF RECORDATION
10/217567	06101.00002	NOTICE OF RECORDATION
09/438219		NOTICE OF RECORDATION
29/165489	05427.00144	NOTICE OF RECORDATION
10/216431		NOTICE OF RECORDATION
09/287087		NOTICE OF RECORDATION
09/502206		NOTICE OF RECORDATION
09/912499		NOTICE OF RECORDATION
10/218584	03797.00395	NOTICE OF RECORDATION
10/266939	03432.00012	FILING RECEIPT
10/220290	04974.00795	FILING RECEIPT
10/260349	06185.00007	FILING RECEIPT
29/168530	05427.00152	FILING RECEIPT
29/168529	05427.00153	FILING RECEIPT
29/168532	15127.00143	FILING RECEIPT
10/221936	04974.00799	FILING RECEIPT
10/263310	03797.00423	FILING RECEIPT
60/416266	04974.00878	FILING RECEIPT
10/265728	01701.00165	FILING RECEIPT
10/262969	04770.00076	FILING RECEIPT
10/221737	04974.00793	FILING RECEIPT
09/502206	18612-001830	CORRECTED FILING RECEIPT
09/502404	18612-001820	CORRECTED FILING RECEIPT
29/164649	06089.00051	UPDATED FILING RECEIPT
29/161194	09242.00139	UPDATED FILING RECEIPT
60/397123	00250.00010	UPDATED FILING RECEIPT
29/161193	00242.00140	UPDATED FILING RECEIPT
29/164642	06089.00045	UPDATED FILING RECEIPT
29/164650	06089.00052	UPDATED FILING RECEIPT
29/164645	06089.00047	UPDATED FILING RECEIPT
29/164647	06089.00049	UPDATED FILING RECEIPT
10/187446	51291.00044	UPDATED FILING RECEIPT
29/164648	06089.00050	UPDATED FILING RECEIPT
10/183993	03797.00290	UPDATED FILING RECEIPT

10/190618	00137.00024	UPDATED FILING RECEIPT
29/164644	06089.00046	UPDATED FILING RECEIPT
29/164646	06089.00048	UPDATED FILING RECEIPT
10/244427	02918.00017	RESPONSE TO REQUEST/CORRECTED FILING RECEIPT
10166297	03797.00256	RESPONSE TO REQUEST/CORRECTED FILING RECEIPT
29/150874	15127.00090	ISSUE FEES
10/093968	06059.00001	ISSUE FEES
09/713207	01458.00003	ISSUE FEES
09/743460	3128	ISSUE FEES
76/377219		NOTICE OF PUBLICATION
76/377218		NOTICE OF PUBLICATION
76/373803		NOTICE OF PUBLICATION
10/220290	04974.00795	NOTICE OF ACCEPTANCE
10/221737	04974.00793	NOTICE OF ACCEPTANCE
10/221936	04974.00799	NOTICE OF ACCEPTANCE
78/089890	03230.00100	NOTICE OF ACCEPTANCE
09/490154		EXAMINATION SCHEDULE
76/407201	01582.00034	NOTICE OF SUSPENSION
76/165175		REQUEST FOR EXTENSION – GRANTED
10/266939	03432.00012	MISSING PARTS

PTO LOG November 5, 2002

APPLICATION #	DOCKET #	DESCRIPTION
09/843723	03797.00039	OFFICE ACTION
09/623564	00771.00009	OFFICE ACTION
09377810	00040.82344	OFFICE ACTION
09/801858	11777.00012	OFFICE ACTION
10/128520	02973.00073	FILING RECEIPT
60/417637	09242.00001	FILING RECEIPT
10/266743	61765.00470	FILING RECEIPT
10/259498	05399.00003	FILING RECEIPT
10/259494	00479.00082	FILING RECEIPT
09/917776	05131.00003	UPDATED FILING RECEIPT
10/184000	03797.00289	UPDATED FILING RECEIPT
10/184367	03797.00389	UPDATED FILING RECEIPT
60/380263	04974.00741	NOTICE OF RECORDATION
10/146486	03797.00277	NOTICE OF RECORDATION
29/157775	14047.00054	NOTICE OF RECORDATION
10/223719	03848.80505	NOTICE OF RECORDATION
29/165637	15127.00129	NOTICE OF RECORDATION
29/165613	15127.00130	NOTICE OF RECORDATION
29/165636	15127.00128	NOTICE OF RECORDATION
10/216860	00479.00037	NOTICE OF RECORDATION
10/216862	01458.00023	NOTICE OF RECORDATION
09/889801	10180.00007	ISSUE FEES
09/875944	01701.00083	ISSUE FEES
10/128520	02973.00073	MISSING PARTS
10/239224	06031.00014	MISSING PARTS
	6169073 B1	CERTIFICATE OF CORRECTION
	6228384 B1	CERTIFICATE OF CORRECTION

PTO LOG November 6, 2002

[illegible]

PTO LOG November 7, 2002

APPLICATION #	DOCKET #	DESCRIPTION
09/820356	04835.00011	OFFICE ACTION
09/945755	02372.00028	OFFICE ACTION
09/984345	01107.00204	OFFICE ACTION
09/443289	00407.85058	OFFICE ACTION
09/305753	04336.80760	OFFICE ACTION
09/246348	1708-016	OFFICE ACTION
78/139293	04685.00003	OFFICE ACTION
09/835520	01701.00066	OFFICE ACTION
09/989441	03848.00099	OFFICE ACTION
09/892294	05127.00052	OFFICE ACTION
60/417653	04974.00897	FILING RECEIPT
29/168818	05427.00120	FILING RECEIPT
29/168819	05427.00121	FILING RECEIPT
10/265727	01701.00514	FILING RECEIPT
10/130744		NOTICE OF RECORDATION
10/207133	03797.00270	NOTICE OF RECORDATION
10/222509	03797.00273	NOTICE OF RECORDATION
10/135843		NOTICE OF RECORDATION
10/123349	03797.00246	NOTICE OF RECORDATION
29/152866	01582.00062	ISSUE FEES
09/533998	03797.84945	ISSUE FEES
29/162719	01582.00096	ISSUE FEES
29/152867	01582.00064	ISSUE FEES
29/152875	01582.00063	ISSUE FEES
09/246348	1708-016	ISSUE FEES
76/331091	01582.00105	ISSUE FEES
07/462137	P816021980	MAINTENANCE FEE
07/908742	02605.40364	MAINTENANCE FEE
	2614211	SOFT COPY
	6398170B1	CERTIFICATE OF CORRECTION
	6409905B1	CERTIFICATE OF CORRECTION
08/041659	P5812-22081	MAINTENANCE FEE

07/785935	01792.33815	MAINTENANCE FEE
76/111778	05081.00001	EXTENSION REQUEST APPROVED
09/921570	01701.00100	CORRECTED APPLICATION PAPERS
09/832966		PROSECUTION SCHEDULE
75/119470	05007.86641	SUSPENSION INQUIRY